

DISASTER RECOVERY PLAN FOR PROFESSIONAL FINANCIAL SERVICES, INC.

Below is our Disaster Recovery Plan. Each section responds to a specific rule under The Virginia Securities Act and the Code of Virginia, and the specific rule is cited in each area.

1. **Rule 21 VAC 5-80-160** requires a Disaster Recovery Plan. Accordingly, our plan is based on the items below.

2. **Rule 21 VAC 5-80-160 F 1:**

In the event of the death or total and permanent disability of Robert E. Tucker, President of Professional Financial Services, a buyout agreement currently in place provides that Eric G. Meyers, Esq. who is a licensed securities representative, and a current registered Representative of LPL Financial will purchase Mr. Tucker's practice and assume the obligations to and representation of all clients of Professional Financial Services, Inc. His purchase includes all life insurance, disability insurance, long term care insurance, annuity, mutual funds, and securities placed through Grove Point Financial, and all Third-Party Money Management Accounts placed by and handled by Professional Financial Services, Inc.

Mr. Meyers is with the firm Meyers, Hurvitz, Abrahams, LLC. Located at 3206 Tower Oak Blvd. Fourth Floor, Rockville, MD 20852, 301-770-6308, emeyers@meyerhurvitz.com.

3. **Rule 21 VAC 5-80-160-F 2:**

In the event of Robert E. Tucker's death or total permanent disability, the current Office Manager of Professional Financial Services, Inc. Mrs. Dana Faye West, will continue to be employed for a minimum of one year. It is her responsibility to notify the Department of Securities of Virginia, Maryland, and the District of Columbia within 24 hours of Mr. Tucker's death or total permanent disability AND to notify all of the clients of Professional Financial Services and Robert E. Tucker within 5 business days.

Mrs. West is located at Professional Financial Services, Inc. at 10913 Lawyers Road, Reston, VA 20191, phone 703-391-0299, fayewest@pfsinc.info. Mrs. West will report to and work with Mr. Eric G. Meyers to make the cited notifications.

4. **Rule 21 VAC 5-80-160 F 3:**

As the new owner of Professional Financial Services, Inc., Mr. Eric G. Meyers will be solely responsible for the continued monitoring of client assets as well as any distributions or transfers requested by the client.

5. **Rule 21 VAC5-80-160 F 4:**

Professional Financial Services, Inc. has no outstanding loans and uses no credit facilities for any purpose.

6. **Rule 21, VAC 5-80-160 F 5:**

All electronic data is backed up every day of the year by Carbonite, Inc. Their phone number is 1-877-222-5488. Carbonite Online Backup is installed on our server, and it operates continuously in the background. This software automatically seeks out new and changed files on the server and backs them up using incremental backup.

If there are any questions regarding the above Disaster Recovery Plan of Professional Financial Services, Inc. , please contact:

**Robert E. Tucker, President
Professional Financial Services, Inc.
10913 Lawyers Road
Reston, VA 20191
(703) 391-0299**